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PRIVACY POLICY

Our Commitment

Peak Wealth Advisors (PWA) is committed to safeguarding the confidential information of its clients. We hold all personal information provided to us in the strictest confidence. These records include all personal information that PWA collects from clients in connection with any of the services provided by us. PWA has never disclosed information to nonaffiliated third parties, except as permitted by law. If we anticipate a change in our firm policy, PWA will advise its clients in advance.

We may use financial and other information that the client or their designated representatives provide us to help them meet their personal financial goals while guarding against any real or perceived infringements of their rights of privacy.

The Information we collect about you

The categories of nonpublic personal information that PWA collects from a client depends upon the scope of the client engagement. It will generally include information about their personal finances, information about their health to the extent that it is needed for the planning process, information about transactions between the client and third parties and information from consumer reporting agencies.

Access to Information Collected

PWA maintains a secure office and computer environment to ensure that client information is not placed at unreasonable risk.

PWA limits access to information to only employees and agents who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about a client with a brokerage firm in order to execute securities transactions on their behalf, or so that our firm can discuss the client's financial situation with their accountant or lawyer.)

Employees are advised that all information, transactions, records, etc. of our clients is confidential and must be held in strict confidence. Employees are advised not to discuss a client's affairs with unauthorized persons including other PWA employees. For unaffiliated third parties that require access to a client's personal information, including financial service companies, consultants and auditors, we also require strict confidentiality in our agreements

with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.

Client information on PWA's internal computer system is protected by access and by password. Access to information on our system is assigned on an "as needed" and access by nonaffiliated third parties, if given, is protected by both access and by password.

Outside Contractors

PWA may, as necessary, use various services from nonaffiliated third parties for the purpose of supporting the financial products and services we provide to clients. These parties must agree to strict confidentiality in our agreements with them and we expect them to keep all information private. **We do not provide clients' personally identifiable information to mailing list vendors or solicitors for any purpose.**

Maintenance of Records

Personally identifiable information about a client will be maintained during the time they are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities laws. After this required period of record retention, all such information will be destroyed.

Requests for Information

PWA directs a client or other interested person who has any questions about our privacy policies or procedures, to contact Ms. Helen Sipsas either in writing or phone at 1493 Higuera Street, San Luis Obispo, CA 93401; or phone: (888) 231-3262.